

## Appendix 3

**BUDAPEST TREATY ON THE INTERNATIONAL  
RECOGNITION OF THE DEPOSIT OF MICROORGANISMS  
FOR THE PURPOSES OF PATENT PROCEDURE**

## INTERNATIONAL FORM

TO UNIVERSITY OF NEWCASTLE UPON TYNE  6 Kensington Terrace Newcastle upon Tyne NE1 7RU UK	NAME AND ADDRESS OF DEPOSITOR
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RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT  
issued pursuant to Rule 7.1 by the  
INTERNATIONAL DEPOSITARY AUTHORITY  
identified at the bottom of this page

<b>I. IDENTIFICATION OF THE MICROORGANISM</b>	
Identification reference given by the DEPOSITOR:  HES - NCL2	Accession number given by the INTERNATIONAL DEPOSITARY AUTHORITY:  P-05-001
<b>II. SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC DESIGNATION</b>	
The microorganism identified under I above was accompanied by: <input type="checkbox"/> a scientific description <input type="checkbox"/> a proposed taxonomic designation (Mark with a cross where applicable)	
None given CH 13-01-05	
<b>III. RECEIPT AND ACCEPTANCE</b>	
This International Depositary Authority accepts the microorganism identified under I above, which was received by it on 13-01-05 (date of the original deposit) <sup>1</sup>	
<b>IV. RECEIPT OF REQUEST FOR CONVERSION</b>	
The microorganism identified under I above was received by this International Depositary Authority on (date of the original deposit) and a request to convert the original deposit to a deposit under the Budapest Treaty was received by it on CH 13-01-05 (date of receipt of request for conversion)	
<b>V. INTERNATIONAL DEPOSITARY AUTHORITY</b>	
Name: DR. CHARLES J. HUNT Address: NIBSC, UK STEM CELL BANK, BLANCHARD LANE, POTTERS BAR, HERTS ENG SG6 3QG	Signature(s) of person(s) having the power to represent the International Depositary Authority or of authorized official(s): Date: 13-01-05

<sup>1</sup> Where Rule 6.4(d) applies, such date is the date on which the status of international depositary authority was acquired.

BUDAPEST TREATY ON THE INTERNATIONAL  
RECOGNITION OF THE DEPOSIT OF MICROORGANISMS  
FOR THE PURPOSES OF PATENT PROCEDURE

## INTERNATIONAL FORM

TO  
DR M STOKOVIC  
UNIVERSITY OF NEWCASTLE UPON TYNE  
INSTITUTE OF HUMAN GENETICS  
CENTRAL PARKWAY

NEWCASTLE UPON TYNE  
NE1 3BZ  
UK

NAME AND ADDRESS  
OF DEPOSITOR

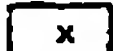
## I. IDENTIFICATION OF THE MICROORGANISM

Identification reference given by the  
DEPOSITOR:  
HESCdF-NCL

Accession number given by the  
INTERNATIONAL DEPOSITORY AUTHORITY:  
04010601

## II. SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC DESIGNATION

The microorganism identified under I above was accompanied by:



A scientific description



A proposed taxonomic designation

(Mark with a cross where applicable)

## III. RECEIPT AND ACCEPTANCE

This International Depository Authority accepts the microorganism identified under I above,  
which was received by it on 06 January 2004 (date of the original deposit)<sup>1</sup>

## IV. RECEIPT OF REQUEST FOR CONVERSION

The microorganism identified under I above was received by this International  
Depository Authority on (date of the original deposit) and  
A request to convert the original deposit to a deposit under the Budapest Treaty  
was received by it on (date of receipt of request for conversion)

## IV. INTERNATIONAL DEPOSITORY AUTHORITY

Name: Dr D H Lewis

Address: ECACC  
HPA  
Porton Down  
Salisbury SP4 0JG

Signature(s) of person(s) having the power  
to represent the International Depository  
Authority or of authorized official(s):

Date: 14/02/05

1 Where Rule 6.4(d) applies, such date is the date on which the status of international depository  
authority was acquired

BUDAPEST TREATY ON THE INTERNATIONAL  
RECOGNITION OF THE DEPOSIT OF MICROORGANISMS  
FOR THE PURPOSES OF PATENT PROCEDURE

## INTERNATIONAL FORM

TO  
DR M STOKOVIC  
UNIVERSITY OF NEWCASTLE UPON TYNE  
INSTITUTE OF HUMAN GENETICS  
CENTRAL PARKWAY

VIABILITY STATEMENT  
Issued pursuant to Rule 10.2 by the  
INTERNATIONAL DEPOSITARY AUTHORITY  
identified on the following page

NEWCASTLE UPON TYNE  
NE1 3BZ  
UK

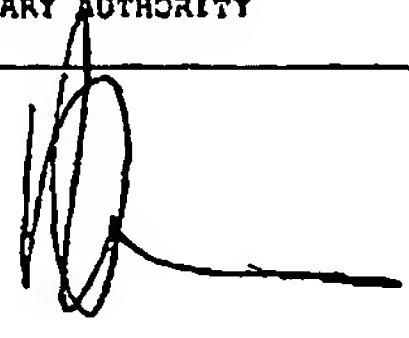
NAME AND ADDRESS OF THE PARTY  
TO WHOM THE VIABILITY OF STATEMENT  
IS ISSUED

I. DEPOSITOR	II. IDENTIFICATION OF THE MICROORGANISM
Name: DR M STOKOVIC UNIVERSITY OF NEWCASTLE UPON TYNE INSTITUTE OF HUMAN GENETICS CENTRAL PARKWAY Address: NEWCASTLE UPON TYNE NE1 3BZ UK	Accession number given by the INTERNATIONAL DEPOSITARY AUTHORITY:  04010601  Date of the deposit or of the transfer:  06 January 2004
II. VIABILITY STATEMENT	
The viability of the microorganism identified under II above was tested on 06 January 2004 <sup>1</sup> . On that date, the said microorganism was <input checked="" type="checkbox"/> , viable <input type="checkbox"/> , no longer viable	

- 1 Indicate the date of the original deposit or, where a new deposit or a transfer has been made, the most relevant date (date of the new deposit or date of the transfer).
- 2 In the cases referred to in Rule 10.2 (a) (ii) and (iii), refer to the most recent viability test.
- 3 Mark with a cross the applicable box.

Form BP/4 (first page)

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IV. CONDITIONS UNDER WHICH THE VIABILITY TEST HAS BEEN PERFORMED <sup>4</sup>		
THE PATENT DEPOSIT- HESCDP-NCL - ACCESSION NUMBER 04010601 LOT NUMBER 04/B/019 HAS A VIABLE CELL COUNT OF $5 \times 10^5$ CELLS/MLS AND A VIABILITY OF 91.5%.		
II. INTERNATIONAL DEPOSITARY AUTHORITY		
Name:	Dr D H Lewis ECACC HPA	
Address:	Porton Down Salisbury Wiltshire SP4 0JG	
		Signature(s) of person(s) having the power to represent the International Depositary Authority or of authorized official(s):
		Date: 14/02/05

<sup>4</sup> Fill in if the information has been requested and if the results of the test were negative.

Form BP/9 (second and last page)

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